

2654  
IFW

Atty. Docket No. ALPH.P014

PATENT

IN THE UNITED STATES PATENT OFFICE

In Re Patent Application of:	)	
	)	
Gregory C. Burnett	)	Examiner: Myriam Pierre
	)	
Application No. 09/990,847	)	Art Unit: 2654
	)	
Filed: November 21, 2001	)	
	)	
For: METHOD AND APPARATUS FOR VOICED	)	
SPEECH EXCITATION FUNCTION	)	
DETERMINATION AND NONACOUSTIC	)	
<u>ASSISTED FEATURE EXTRACTION</u>	)	

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97

Sir:

Enclosed is an Information Disclosure Citation Form PTO/SB/08. Also enclosed are copies of all references that are not U.S. Patent references. It is respectfully requested that all cited references be considered and that a copy of the enclosed Form PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to Applicant.

Pursuant to 37 CFR 1.97(h), the submission of this Information Disclosure Statement is not to be construed as an admission that the information cited in this statement is material to patentability.

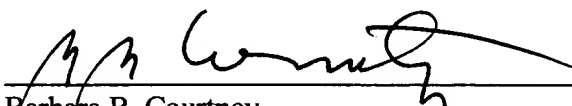
This Information Disclosure Statement is being submitted pursuant to 37 CFR 1.97(b)(3) before the mailing of a first office action on the merits.

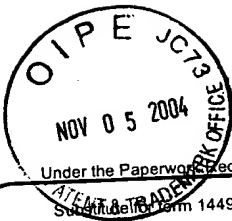
The Commissioner is hereby authorized to charge any fees which may be required in connection with this submission to Deposit Account No. 501914.

Respectfully submitted,

SHEMWELL GREGORY & COURTNEY LLP

Dated: November 4, 2004

  
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Barbara B. Courtney  
Registration No. 42,442



PTO/SB/08A (08-03)  
Approved for use through 07/31/2006. OMB 0651-0031  
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

### Complete if Known

Application Number	09/990,847
Filing Date	11/21/2001
First Named Inventor	Gregory C. Burnett
Art Unit	2654
Examiner Name	Myriam Pierre
Attorney Docket Number	ALPH.P014

Sheet 1 of 1

### U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 2002/039425 A1	04-04-2002	Burnett et al.	
		US- 3,789,166	01-29-1974	Sebesta	
		US- 4,006,318	02-01-1977	Sebesta et al.	
		US- 4,591,668	05-27-1986	Iwata	
		US- 4,901,354	02-13-1990	Gollmar et al.	
		US- 5,473,702	12-05-1995	Yoshida et al.	
		US- 5,515,865	05-14-1996	Scanlon et al.	
		US- 5,649,055	07-15-1997	Gupta et al.	
		US- 5,684,460	11-04-1997	Scanlon et al.	
		US- 5,097,515	03-17-2002	Baba	
		US- 5,754,665	05-19-1998	Hosoi et al.	
		US- 5,853,005	12-29-1998	Scanlon	
		US- 6,069,963	05-30-2000	Martin et al.	
		US- 6,266,422	07-24-2001	Ikeda	
		US- 6,430,295	08-06-2002	Handel et al.	
		US-			
		US-			
		US-			
		US-			

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		EP 0 637 187 A ✓	02-01-1995	Pan Communicati		
		EP 0 795 851 A2 ✓	09-17-1997	Tokyo Shibaura		
		EP 0 984 660 A2 ✓	03-08-2000	Nippon T&T Corp		
		JP 2000 312 395 ✓	11-07-2000	Alpine Electron		
		JP 2001 189 987 ✓	07-10-2001	Pioneer Electro		
		WO 02 07151 ✓	01-24-2002	ALIPHCOM, Inc.		

Examiner  
Signature

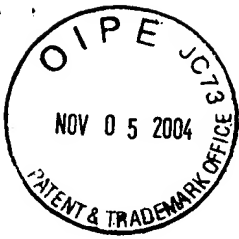
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND**

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CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8

I hereby certify that the following correspondence is being deposited with the United States Postal Service with sufficient postage and addressed to MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450 on the date below by:

Barbara B. Courtney

(Name of person transmitting correspondence)

November 4, 2004

Date

Serial/Patent No.: 10/990,847 Filing/Issue Date: November 21, 2001 Client: ALIPH, Inc.  
Title: Method and Apparatus for Voiced Speech Excitation Function Determination and Nonacoustic Assisted Feature Extraction

Atty. Docket No.: ALPH.P014

Date Mailed: November 4, 2004

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

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| <input type="checkbox"/> Amendment/Response ( pgs.)                         | <input type="checkbox"/> Petition for Extension of Time ( month(s))               |
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| <input type="checkbox"/> Application - Utility ( pgs.)                      | <input checked="" type="checkbox"/> Information Disclosure Statement Transmittal  |
| <input type="checkbox"/> Application - Rule 1.53(b) Contin. ( pgs.)         | <input type="checkbox"/> Submission of Formal Drawings                            |
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| <input checked="" type="checkbox"/> Other <u>Seven (7) cited references</u> |   |